CALTRANS STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION BID FOR

E-4

CONSTRUCTION ON STATE HIGHWAY IN SHASTA COUNTY IN AND NEAR SHASTA LAKE FROM SHASTA DAM TO CORAM UNDERPASS In District 02 on Route 151

UNDER

Notice to Bidders and Special Provisions dated October 31, 2016 Project plans approved August 18, 2016 Standard Specifications dated 2015 Standard Plans dated 2015

Identified by

Contract No. 02-2H3704

02-Sha-151-0.0/5.5

Project ID 0216000079

Bids open Wednesday, November 30, 2016

Dated October 31, 2016

AADD

Bidder Name: American Pavement Systems, Inc.

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Name of Bidder American Pavement Systems, Inc.

Bidder ID VC1400003400

Business Street Address 1012 11th Street

(Include even if P.O. Box is used)

Business P.O. Box Suite 1000

City, State, Zip Modesto, CA, 95354

Telephone No. 2095222277

Fax No. 2094080427

Contractor License No. 943792

California Department of Industrial Relations Registration number** 1000000207 Checksum 039905D1

Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

- 2. Bidder has and acknowledges the following addenda:0
- 3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
- 4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
 - 4.1 Criminal prosecution
 - 4.2 Rejection of the bid
 - 4.3 Rescission of the award
 - 4.4 Termination of the Contract

PRINTED NAME: DAVID PIMLEY AND

TITLE OF PERSON SIGNING: CHIP SEAL DIVISION MANAGER

Bidder ID: VC1400003400

Contract No.: 02-2H3704 Project ID: 0216000079

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

Bidder	Name: American Pavement S	Systems, Inc.	Blader ID:	VC1400003400
Item		Estimated Quantity Unit of Measure	Unit Price 	Item Total
1	070030 LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	2,500.0
2	120090 CONSTRUCTION AREA SIGNS	LUMP SUM	LUMP SUM	18,000.0
3	120100 TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	49,000.0
4	120152 TEMPORARY PAVEMENT MARKING (TAPE) 		9.50 9.50	1,710.0
5	128652 PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	5,000.0
6	130100 JOB SITE MANAGEMENT 	LUMP SUM LS	LUMP SUM	10,000.0
7	130200 PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM LS	LUMP SUM	2,500.0
8	150715 REMOVE THERMOPLASTIC PAVEMENT MARKING		8.40	1,512.0
9	370001 SAND COVER (SEAL)	140.0	150.00	21,000.0
10	370120 ASPHALT-RUBBER BINDER 	190.0 TON	400.00	76,000.0
11	374004 ASPHALTIC EMULSION (FLUSH COAT)	18.0 TON	1,200.00	21,600.0
12	375035 PRECOATED SCREENINGS	1,200.0	128.50	154,200.0

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Contract No.: 02-2H3704 Project ID: 0216000079 Bidder ID: VC1400003400 Bidder Name: American Pavement Systems, Inc.

Bidder	Name: American Pavement	Systems, Inc.	Blader ID:	VC1400003400
 Item No.	 Item Item Code Description 	Estimated Quantity Unit of Measure	Unit Price 	Item Total
+ 13 	390095 REPLACE ASPHALT CONCRETE SURFACING	 570.0 CY	 275.00	156,750.00
 14 .	840515 THERMOPLASTIC PAVEMENT MARKING 		6.00 	9,120.00
 15 	840560 THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)		0.32 0.32	12,864.00
 16 	032579 100 MIL THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	 19,200.0 LF	 1.15	22,080.00
+	Total Bid		·	\$563,836.00

Contract No. 02-2H3704

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: American Pavement Systems, Inc.

List this sub? Yes

1) Business Name APPLY A LINE, INC.
Location City ANDERSON State CA
California Contractor License Number 562762
Public Works Contractor Registration Number 1000003300
Portion of Work Subcontracted:

Item % Description

1 52 LCP FOR STRIPING REMOVAL

4 95 TEMP PM TAPE

8 95 REMOVE THERMO

14 96 THERMO PM

15 94 THERMO TRAFFIC STRIPE

List this sub? Yes

2) Business Name APPLY A LINE, INC.
Location City ANDERSON State CA
California Contractor License Number 562762
Public Works Contractor Registration Number 1000003300
Portion of Work Subcontracted:

Item % 16 96 100 MIL THERMO

Description

List this sub? Yes

3) Business Name T AND S DVBE
Location City REDDING State CA
California Contractor License Number 983087
Public Works Contractor Registration Number 1000007688
Portion of Work Subcontracted:

Item % Description

2 23 FURNISH, INSTALL, REMOVE CAS

5 50 FURNISH, DELIVER, REMOVE PCMS

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: American Pavement Systems, Inc.

List this sub? Yes

4) Business Name TULLIS, INC. Location City REDDING State CA California Contractor License Number 295418 Public Works Contractor Registration Number 1000002402 Portion of Work Subcontracted:

Item % Description 2 16 TRAFFIC CONTROL FOR REPLACE AC SURFACING 13 95 REPLACE AC SURFACING

List this sub? Yes

5) Business Name GLOBAL ENVIRONMENTAL NETWORK, INC. Location City FOUNTAIN VALLEY State CA California Contractor License Number 878478 Public Works Contractor Registration Number 1000005273 Portion of Work Subcontracted:

Item %

Description

- 1 31 PREPARE LCP
- 6 36 PREPARE JSM PACKAGE AND WPCM
- 7 19 PREPARE WPCP

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Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

REQUEST FOR SMALL BUSINESS PREFERENCE OR NON-SMALL BUSINESS PREFERENCE

DES-OE-0102.7 (REV 02/2010)

COMPLETE ONE OF THE FOLLOWING:

*Small Business Preference

The undersigned requests small business preference and certifies, under penalty of perjury, that the firm meets the requirements of 2 CA Code of Regs • 1896 et seq. and is certified as a small business at the time and day of bid opening or has submitted a complete application to the Department of General Services (DGS) and is subsequently certified. The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date.

Smal1	Business	Certification	(Reference)	Number:	1766307
Date:	11/30/16	Signature:			

*Non-Small Business Preference

The undersigned non-small business preference and notifies the Department on the Certified Small Business Listing for the Non-Small Business Preference form that it commits to subcontract at least 25 percent of its bid amount with one or more firms that meets the requirements of 2 CA Code of Regs • 1896 et seq. and the firms are certified as small businesses at the time and day of bid opening or have submitted a complete application and are subsequently certified by the Department of General Services (DGS). The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date. List these firms on the Certified Small Business Listing for the Non-Small Business Preference form.

Date:	Signature:	
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Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

CALIFORNIA COMPANY PREFERENCE DES-OE-102.9 (REV 11/2008)

This form must be completed by all bidders. Failure of a non-California company to fill out and sign this form may be cause for rejection of its bid. Eligibility for a reciprocal preference for a California company is waived if the California company fails to complete and sign this form under penalty of perjury.

The undersigned certifies that it is a "California company" as defined in Pub Cont Code ♦ 6107 and meets one of the following (enter requested information):

1. I am a California company which has its principal place of business in California.

2. I a California company which has its principal place of business in a state in which there is no local contractor preference on construction contracts.

Name of State:

3. I a California company which has its principal place of business in a state in which there is a local contractor preference and my company has paid not less than \$5,000 in sales or use taxes to California for construction related activity for each of the 5 years immediately preceding the submission of the bid.

Name of State:

California Sales or Use Tax No.:

or

The undersigned certifies that it is not a "California company."

4. I a California company.

My principal place of business is in:

(Enter state or country)

Describe any and all bid preferences provided to your company by the state or country in which your company has its principal place of business. (Attach additional sheets if necessary.) Describe:

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

DATE	Signature	of	Bidder	_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-2H3704 Project ID: 0216000079

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: 11/30/16 Bidder's Name: DAVID PIMLEY

Signature:		
9	 	

Bidder Name: American Pavement Systems, Inc.

CERTIFIED DVBE SUMMARY DES-OE-102.5 (REV 3/2008)

DISTRICT-COUNTY-ROUTE: 02-Sha-151-0.0/5.5

TOTAL BID: 563,836.00

BID OPENING DATE: 11-30-16

DVBE PRIME CONTRACTOR CERTIFICATION:

Submit to:

MSC 43 Office Engineer Department of Transportation 1727 30th Street Sacramento, CA 95816-7005

Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractors List (Pub Cont Code ♦ 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.

If 100% of an item is not performed or supplied by the DVBEs, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Total Claimed Participation \$ 38,307.40 % 6.79

> Contact Person: DAVID PIMLEY (Type or Print)

(Area Code) Telephone Number: (209) 275-7031

Signature of Bidder

Date

Bidder ID: VC1400003400

Contract No.: 02-2H3704 Project ID: 0216000079

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

CERTIFIED DVBE SUMMARY
DES-OE-102.5(REV 3/2008)

DES-OE-102.5(REV 3/2008)

Bid Item Number:

1, 6, 7

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

PREPARE LCP, PREPARE JSM PACKAGE AND WPCM, PREPARE WPCP FOR CALTRANS USE ONLY

TON CABINAND COL CHIL

DVBE Name:GLOBAL ENVIRONMENTAL NETWORK DVBE Telephone Number:800-230-6944 DVBE Certification Number:24765 \$Amount:4,835.00

Bid Item Number:

9, 12

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

SWEEPING

FOR CALTRANS USE ONLY

DVBE Name: WELLS SWEEPING

DVBE Telephone Number: 916-568-0104

DVBE Certification Number:333

\$Amount:9,820.00

Bid Item Number:

2,5

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FURNISH, INSTALL, REMOVE CAS. FURNISH, DELIVER, REMOVE PCMS FOR CALTRANS USE ONLY

DVBE Name: T AND S DVBE

DVBE Telephone Number:530-639-9987 DVBE Certification Number:1753009

\$Amount:6,690.00

Bid Item Number:

13

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

SUPPLY AND HAUL HMA BINDER

FOR CALTRANS USE ONLY

DVBE Name: RAGON, INC.

DVBE Telephone Number: 530-605-4655 DVBE Certification Number: 1795398

\$Amount:16,962.40

Bidder Name: American Pavement Systems, Inc.

CERTIFIED DVBE SUMMARY DES-OE-102.5 (REV 3/2008)

Bidder ID: VC1400003400

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:

DVBE Telephone Number:

DVBE Certification Number:

\$Amount:

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:

DVBE Telephone Number:

DVBE Certification Number:

\$Amount:

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:

DVBE Telephone Number:

DVBE Certification Number:

\$Amount:

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:

DVBE Telephone Number:

DVBE Certification Number:

\$Amount:

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

CERTIFIED DVBE SUMMARY

DES-OE-102.5 (REV 3/2008)

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:

DVBE Telephone Number:

DVBE Certification Number:

\$Amount:

DVBE prime contractors must enter their DVBE reference number or their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the above table).

Contract No. 02-2H3704

Contract No.: 02-2H3704 Project ID: 0216000079

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of STANISLAUS

Name: DAVID PIMLEY,

being first duly sworn, deposes and says that he or she is

CHIP SEAL DIVISION MANAGER of

AMERICAN PAVEMENT SYSTEMS, INC.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

- The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- 2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

Contract No.: 02-2H3704 Project ID: 0216000079

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code \blacklozenge 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

Bidder Name: American Pavement Systems, Inc. Bidder ID: VC1400003400

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

- 1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

 No
- 2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

 No
- 3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
- 4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
- 4.1. Date of each nonresponsibility determination
- 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
- 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

BIDDER'S BOND

DES-OE 0102.3(REV 4/2016)

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing identity of the individual who signed the attached, and not the truthfulness, accurate	document to which this certificate is
State of California	
County of	
On this before me,	
Date Here	insert Name and Title of the Officer
personally appeared	
Name(s) of	Signer(s)
who proved to me on the basis of satisfactor whose name(s) is/are subscribed to the with that he/she/they executed the same in his/land that by his/her/their signature(s) on entity upon behalf of which the person(s)	nin instrument and acknowledged to me ner/their authorized capacity(ies), the instrument the person(s), or the acted, executed the instrument.
I certify under PENALTY OF PERJURY under that the foregoing paragraph is true and co	
WITI	NESS my hand and official seal
SIGNATURE _	
	Signature of Notary Public
(SEAL)	
Contract No. 02-2	2H37O4 Page 2O

Bond Details for: Bid Bond

Status: in Effect Bond Form:

CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)

Current Effective Date: 11/16/2016

Expiration Date: 2/14/2017

American Pavement Systems, inc. Subsidiary:

Construction on State Highway in Shasta County in and near Shasta Lake from Shasta Dam to Coram

Description: Underpass

Contract Date:

Type of Bond: Contract

SAA Code: Bid Bond(000)

initial Effective Date: 11/16/2016

Principal

Name:

American Pavement Systems, inc.

Address:

1012 11th Street, Ste. 1000

Modesto, CA 95354

State of incorporation:

Obligee

Name:

California Department of Transportation

Address:

CA

State of Obligation: CA

> Obligee Type: unknown

Agency Information

Agency:

Andreini & Company

Site:

Andreini & Company (San Mateo, CA)

Attorney-in-fact:

Kathieen Earle

State of Execution:

Bond Form Custom Fields

Bid Location:

Sacramento

Contract ID No. Required for Electronic Bidding:

02-2H3704

Contractors ID No. Required for Electronic Bidding:

VC1400003400

Other Information

Project, invitation or Bld Number:

02-2H3704

Bid Date:

11/30/2016

Bid Bond Amount:

SurePath iD (Long):

{509E6DA4-262D-4C96-8464-3E4299EBF755}

Bond Validation Number(BVN):

JXNM-9F1H-Q164-QF79

E-Mail BVN

Issuing Carriers

Travelers Casualty and Surety Company of America

Bond Number:

Bid Bond

Premium Amount:

\$0.00

State Surcharge:

\$0.00

Municipal Tax:

\$0.00

Comments